

JC10 Rec'd PCT/PTO

1 MAY 2005

PATENT (#4)

ractitioner's Docket No. 2002DE128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hoerold et al.

Application No.: 10/526,691

Group No.:

Filed: March 3, 2005

r: Flame Retardant-Stabilizer Combination for Thermoplastic Polymers

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10*

with sufficient postage as first class mail.

[] as "Express Mail Post Office to Address" Mailing Label No. ______ (mandatory)

TRANSMISSION

[] transmitted by facsimile to the Patent and Trademark Office (703) ______.

Date: May 25, 200 S

Signature

(type or print name of person certifying)

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Applicant: Hoerold et al.: Serial No. 10/526,691

Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1.	\boxtimes	Enclosures accompanying this Information Disclosure Statement are: 1a.
2.		This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3.	\boxtimes	This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4.		This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.		This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6.		This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

Applicant: Hoerold et al.: Serial No. 10/526,691 Page 3 The English language documents identified by reference in the 7. \bowtie TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form. In addition to the documents cited, the Office's attention is directed to the 8. following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office: Respectfully submitted, Registration No. 40,913

CUSTOMER NO. 25,255

Clariant Corporation Industrial Property Department 4000 Monroe Road Charlotte, North Carolina 28205 Telephone: 704/331-7151

Telephone: 704/331-7151 Facsimile: 704/331-7707

FORM PTO-1449 U.S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT

2002DE128

MAY 3 1 2005

SERIAL NO. 10/526,691

GROUP:

(37 CFR 1.98(b))

APPLICANT: Hoerold et al. FILING DATE: 3/3/2005

ATTY. DOCKET NO.

U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Dte	Patentee	Class	Subclass	Filing Date If Appropriate
***************************************	ooodgiigiooo	∞ૐ⊕⊗₫₽₽₽₽₽₽₩₩		0000\$6460060000000000000000000000000000			000000000000000000000000000000000000000
	AB	20040227130	8/18/04	Hoerold et al.			
	AC	62,70560	8/7/01	Kleiner et al.			
	AD	6420459	7/16/02	Horold			
, <u>. </u>	AE	6547992	4/15/03	Schlosser et al.			
000000000000000000000000000000000000000	Pipoo	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	877701	Kleimer et al.	000000000000000000000000000000000000000		000000000000000000000000000000000000000
000000000000000000000000000000000000000		6547.883		ೲ಄಄ಀೢಁಀೢಁೲ಄಄಄ಀೢೲೲ಄ಀೢಁೲೲೲ	000000000000000000000000000000000000000	***************************************	***************************************
	AH	3900444	8/19/75	Racky et al.			
	AI	4036811	7/19/77	Noetzl et al.			
	AJ	6207736	3/27/01	Nass et al.			
	AK	6255371	7/3/01	Schlosser et al.			
	AL	6365071	4/2/02	Jenewein et al.			
	AM	6509401	1/21/03	Jenewein et al.			
	AN	6051708	4/18/00	de Keuhzer et al.			
	AO	5985960	11/16/99	De Keijzer et al.			
·	AP	5780534	7/14/98	Kleiner et al.			
	AQ	6013707	1/11/00	Kleiner et al.			
	AR						
·	AS		_				
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						
	AZ						
EXAMINER		/Peter Szeke	ely/	DATE CONSIDERED	0;	2/01/2008	

		DOCUMENT NUMBER	PUB. DATE	COUNTRY	TRANSLATION
F	ва	EP 1024168	8/2/00	EPO	See AC above
E	вв	EP 1024167	8/2/00	EPO	See AD above
E	вс	DE 19708726	9/10/98	Germany	See AE above
Е	BD	DE 19960671	9/7/00	Germany	See AF above
E	вЕ	DE 2252258	5/9/74	Germany	See AG above
E	BF	DE 2447727	4/8.76	Germany	See AH above
E	BG	DE 19734437	2/11/99	Germany	See AI above
E	вн	DE 19920276	11/9/00	Germany	English abstract
E	ві	DE 19933901	2/1/01	Germany	See AJ above
В	вЈ	WO 97/39053	10/23/97	WIPO	See AK above
E	вк	DE 19614424	10/6/97	Germany	See AL above
E	BL	DE 19737727	7/8/99	Germany	See AM & AM above
E	вм	WO 96/16948	6/6/96	WIPO	See AN & AM above
E	ви	WO 98/39306	9/11/98	EIPO	English Abstract
E	во	EP 0699708	8/17/95	EPO	See AO & AP above
E	вр				
Е	BQ				
E	BR				
E	BS				
Е	вт				
E	BU				

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication**)

CF					
 CG					
СН					
 СН					
 СН	 				
 CI	 				
 CI	 				
CI					
CI					
 CI	 <u> </u>				
 СН					
CG					
 CF					
 CE		*****			
 CD	<u> </u>				
 cc	Zweifel; "Plastics & 80-84 (2000)	for Corresponding Application DE 10241126.3, mailed additives Handbook," 5 th ed., Carl Hanser Verlag, Munich, pp			
 СВ	German Search Repor 5/2/2003				
CA	11/26/2003	or Corresponding Application	n PCT/EP 03/90434, mailed		